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\*\*REGISTERED PATENT AGENTS

September 22, 2000

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

PGARRETT@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. *To Be Assigned*; Filed: *Herewith*  
For: **Method, System, and Computer Program Product for Interfacing  
with Information Sources**  
Inventors: Baggett *et al.*  
Our Ref: 1956.0010000/PEG

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. U.S. Utility Patent Application entitled:

**Method, System, and Computer Program Product for Interfacing with Information  
Sources**

and naming as inventors:

David M. BAGGETT  
Gregory R. GALPERIN

Commissioner for Patents

September 22, 2000

Page 2

the application consisting of:

- a. A specification containing:
    - i. 65 pages of description prior to the claims;
    - ii. 16 pages of claims ( 64 claims);
    - iii. a one (1) page abstract; and
  - b. 22 sheets of drawings: (Figures 1-3, 4A-4D, 5-11, 12A-12C, 13A-13C, and 14-20 );
- 4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
  - 5. Two (2) return postcards; and
  - 6. Our check No. 28868 for \$1522.00 to cover:
    - \$ 690.00 Filing fee for patent application; and
    - \$ 792.00 Fee for excess claims.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*Linda E. Alcam*

*Reg. No. 39,588*

*for* Patrick E. Garrett  
Attorney for Applicants  
Registration No. 39,987

PEG:mlb

Enclosures

Please type a sign (+) inside this box → ☐

PTO/SB/05 (08-00)

App. for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1956.001000/PEG
First Inventor	Baggett et al.
Title	Method, System, and Computer Program Product for Interfacing with Information Sources
Express Mail Label No.	

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 82]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 22]

5. ☒ Oath or Declaration [Total Pages 1]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR §§  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☐ Preliminary Amendment

14. ☒ Two (2) Return Receipt Postcards (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☒ Other: Authorization under 37 C.F.R. § 1.136(a)(3)

☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.				
	Attorneys at Law				
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CITY	Washington	STATE	DC	ZIP CODE	20005-3934
COUNTRY	USA	TELEPHONE	(202) 371-2600	FAX	(202) 371-2540

NAME (Print/Type)	Patrick E. Garrett	Registration No. (Attorney/Agent)	39,987
SIGNATURE	<u>Patrick E. Garrett</u>	Date	<u>9/22/00</u>

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

SKGF Rev 9/19/00 mac 0010000.nonprovisional.transmittal

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2000</h3> <p style="margin: 5px 0 0 40px;">Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>													
<b>TOTAL AMOUNT OF PAYMENT</b>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">To Be Assigned</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Baggett et al.</td> </tr> <tr> <td>Examiner Name</td> <td>To Be Assigned</td> </tr> <tr> <td>Group Art Unit</td> <td>To Be Assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>1956.0010000/PEG</td> </tr> </table>		Application Number	To Be Assigned	Filing Date	Herewith	First Named Inventor	Baggett et al.	Examiner Name	To Be Assigned	Group Art Unit	To Be Assigned	Attorney Docket No.	1956.0010000/PEG
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																		
<p><b>1.</b> <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Deposit Account Number</td> <td>19-0036</td> </tr> <tr> <td>Deposit Account Name</td> <td>Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</td> </tr> </table> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p><b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input checked="" type="checkbox"/> Other*</p> <p>* charge any deficiencies or credit any overpayments in the fees or fee calculations of parts 1, 2, and 3 below to deposit account 19-0036</p>	Deposit Account Number	19-0036	Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.	<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td></td><td></td><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td></td><td></td><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td></td><td></td><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td></td><td></td><td>147</td><td>2,520</td><td>147 2,520 For filing a request for <i>es parte</i> reexamination</td><td></td></tr> <tr><td></td><td></td><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td></td><td></td><td>116</td><td>380</td><td>216 190 Extension for reply within second month</td><td></td></tr> <tr><td></td><td></td><td>117</td><td>870</td><td>217 435 Extension for reply within third month</td><td></td></tr> <tr><td></td><td></td><td>118</td><td>1,360</td><td>218 680 Extension for reply within fourth month</td><td></td></tr> <tr><td></td><td></td><td>128</td><td>1,850</td><td>228 925 Extension for reply within fifth month</td><td></td></tr> <tr><td></td><td></td><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td>140</td><td>110</td><td>240 55 Petition to revive - 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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Patrick E. Garrett	Registration No. (Attorney/Agent)	39,987
Signature	Linda E. Alcom, Reg. No. 37,588	Telephone	202-371-2600
		Date	9/22/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Baggett *et al.*

Appl. No. *To Be Assigned*

Filed: *Herewith*

For: **Method, System, and Computer  
Program Product for Interfacing  
with Information Sources**

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 1956.0010000/PEG



**Authorization To Treat A Reply As Incorporating An Extension Of Time  
Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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